



## WOODle Project

### Second Coordination Meeting

Vienna, VICESSE office  
Paulanergasse 4/8, 1040 Wien  
5-6 March 2020

#### 5 March 2020

Unito: Laura Scomparin, Valeria Ferraris, Emanuela Andreis  
Amapola: Eleonora Guidi, Giuseppina De Angelis  
Crpe: Alexandru Damian  
Uni Maribor: Jan Stajnko (via Skype)  
Uni Angers: Anthony Taillefait, Christina Koumpli  
Vicesse: Reinhard Kreissl, Norbert Leonhardmair, Stefanie Brottrager, Emanuel Tananau Blumenschein

#### Morning: 9.45-12.30

##### ⇒ WP3 - Presentation result of score maps (Amapola)

The morning meeting is dedicated to the presentation by the Amapola team of the impact assessment process of the Woodie project. After an illustration of the results of the score maps, the team worked on the implementation indicators.

Pina and Eleonora briefly illustrated the work on the evaluation they have carried out so far. Prior to the meeting some material have been sent to partners by email, namely:

- 1) Methodological plan describing the design and steps of the overall evaluation process (deliverable of WP3).
- 2) Draft Report on the results of the questionnaire and final score map tables on the legislative frameworks of whistleblowing and open data
- 3) Draft document on the indicators of implementation which will be used to design the ICT tool that will be tested in some public administrations in each country.

As regards report no. 2 Pina and Eleonora stressed that some divergences have emerged between the answers to the questionnaires and the country reports. In order not to take time away from the planned work, these differences will be checked with the interested parties via email.

##### ⇒ WP 3 - Presentation and validation of indicators for impact assessment (Amapola)

Most of the morning is devoted to the analysis of the proposed implementation indicators in order to build an Implementation Index which measures the degree of implementation of the mechanisms facilitating the effective application of the WB protection and OD policy to deter and detect corruption.

This index is applied at a single organisation level and it is aimed at improving the implementation level of the WB and OD measures within the PA through the following 3 elements:

- 1) the self-assessment of the own performance level: the final evaluation will represent the PA situation in relation to the implementation of these measures
- 2) the analysis of the areas at risk where to increase the efforts to achieve a better performance
- 3) the description of the factors facilitating the application of anti-corruption measures within the PA to figure out if there are some areas at risk and which type of actions might be put in action to improve them.

Once validated, the indicators will be used to build the ICT tool for public administrations.



The Woodie team started to work on the implementation indicators in this way: after a first reading of the main evaluation sectors, we discussed each indicator thinking of the legislative frameworks of the countries, making changes to some proposed indicators, deciding to delete some of them or add others. The second phase of the work, in the upcoming weeks, will ask partners to assign a weight to each indicator.

Keys areas of evaluation on Open data were:

- Collection and publication of Open Data
- Features and Formats of Open Data
- Responsibilities & Management
- Impact of OD and overall satisfaction

**Afternoon: 14.45-17.30**

⇒ **[continuation] WP 3 - Presentation and validation of indicators for impact assessment**

After the lunch break, we continued working on the implementation indicators on Whistleblowing.

Keys areas of evaluation on Whistleblowing were:

- Internal Organisation
- Analysis of reports
- Managements process of reports
- Organisational measure and level of adequacy

⇒ **WP 3 – Test of the indicators and next steps**

Brief presentation of the next steps of the assessment:

- Between March and April, Amapola will organise interviews with some stakeholder to have feedbacks on the indicators of implementation (external evaluation).
- In the meanwhile, the IT Department of the University of Turin will design and develop the ICT tool. The plan is to have it ready for starting the pilot phase in the period June-September.
- The pilot of the impact assessment model and the revision and finalization of the ICT tool will be done by the partners under the supervision of Amapola between May and September. For this phase, there are some organisational questions which need to be further defined among the consortium such as the number of public organizations to be involved, the number of partner countries involved etc. Some proposals will be shared later on but partners are asked to start thinking about the pilot phase.

⇒ **Project status and development, timeline (Unito)**

Valeria from the Unito team made a summary of the project status and development and recalled the next deadlines.

**WP 1 – Management and coordination**

**Ongoing – activities and deliverables on time**

- Interim report. The report was delivered on time (deadline: 21<sup>st</sup> February 2020). The Unito team has filled and uploaded the Interim report on the participant portal as requested. Short and simplified template. The report is available on the Participant portal.
- Monitoring and evaluation: as agreed, at the end of the meeting there will be the monitoring questionnaire to be filled by all partners
- Project reporting. The final reporting will be delivered at the end. The final meeting will correspond to the final conference to be held in Torino in January 2021



## **WP2 – Research and implementation assessment**

**Finished. Length extended. Agreement among partners on the extension in the Kick-off meeting, approval of the EU project officer.**

- Comparative reports finished.
- A draft version on the comparative reports on OD and WB have been distributed to each partner. Partners are asked to check the reports their parts so that the final version can be released. Deadline for checking the final version: 1 week/10 days

## **WP 3 – Impact assessment**

**Slight delay due to the extension of WP2, but the activity is going in the right way**

Amapola has delivered the 1° deliverable in the participants' portal at the end of January 2020 as requested (the methodological plan).

The activity is suffering a slight delay due to the extension of WP 1, but we are on the right path.

## **WP 4 – Development of the ICT tool**

**On time**

Amapola and Unito had previous meetings with the IT department (Boella) to update them on the progress of the WP3. There will be a specific session on this on Friday afternoon; the IT researchers will be connected via skype and will present some preliminary work to all partners.

A lot of work still remains to be done; be on time in WP 3 is crucial.

## **WP 5 - Dissemination and communication.**

Very few activities done so far: the XXII AIV National Conference 'Anticorruption and impact evaluation' (Amapola) and (tomorrow) the Woodie / Protax Joint workshop on WB protection and open government data (VICESSE). It is important to discuss and plan them on time.

### **⇒ WP2 Outcomes of MS comparison (Unito)**

Valeria from the UNITO team distributed to everyone a copy of the two comparative reports on the legislative framework and implementation of the 7 partner countries of the project: one on open data and one on the protection of whistleblowers. She asked everyone to read them carefully, in particular with regard to their country, and to send us by email all the suggestions or changes to be made by March 13th.

### **⇒ WP 5 National events (Unito)**

Valeria recalled that the project foresees national events in each country; each proposal and idea from partners is welcome.

The project was written in very broad terms; this means that we can organise different kinds of meetings aimed at the dissemination with different targets according to partners' capacities and organization, as well as to national priority on one issue or other (OD or WB, or both).

Main features to keep in mind:

- Meetings can vary from targeted workshops (i.e presentation to university students..) to sort of focus group/meeting to discuss a theme.
- It is possible to use these meetings/workshops both to inform on the results of the project and/or to receive input from participants.
- Targets involved in partner countries can be different (students, journalists, professionals or public administration officers attending masters..). Only one point: for the universities, do not involve only students or academics!
- They can be small events (no matter of the number of participants)
- Time: it would be ideal if each country can organise 1 meeting before the summer break





- A policy brief (4-5 pages) could be useful to organise the meetings. Evaluate the possibility to have it and make it circulating among partners
- It will be interesting to involve some coordination/network of people/body on WB and OD (i.e. informal network on state companies, network among public municipalities tipo ANCI..)?

#### Preliminary ideas for dissemination from partners

- FR: difficulties in organising such a meeting for restrictions given by the University (the permission requires long time) and for organisational problems (lack of time, money, personnel resources to dedicate to this. The contract of Christina will finish in april 2020). Proposal to evaluate the possibility to organise a lesson with master students or PA officers and/or organise something with the PA involved in the case studies.
- AU: in Austria open data is a key issue. Great attention on this now. Proposal can be have an advocate for more open data (before summer)
- IT: idea of involving participants of PA Master including lawyers and PA officers. Other kinds of trainings to be evaluated
- SL: is there a budget to organise the workshop? See the budget breakdown (column "other costs"). Big Interest from journalists and media. We can involve people from another EU project dealing on WB (whistlePRO project)
- RO: we could organise the meeting at national or local level. At national level it could be interesting organise a discussion with members of parliament as we have new government. At local level it would be interesting to organise a meeting with PA. Check if they manage to involve MPs.

Partners agreed to think about it and send some inputs in the next 10-15 days.

#### ⇒ **WP5 - Final conference (Unito)**

The final conference can be a moment for a roundtable (to keep in mind when planning the national events). It will be held in Turin in January 2021 (after Christmas, between the 6<sup>th</sup> and the 21<sup>st</sup> January). Proposed dates: 14-15 January, 1 day for the conference and 1 day for the final coordination meeting. No preliminary ideas on the international conference in terms of content and guests. It would be good idea to circulate a proposal of themes to work on. Nice to know whether partners have to involve speakers from national contexts (other from outside the consortium). Someone from the EPPO Office (Alex suggestion. Each country has to appoint a component for the team..). EU level on the morning (keynote speakers) + roundtable in the afternoon. Send a draft invitation, before summer a draft version of the programme (by July). Is there a EU network of person involving in the WB protection?

#### **Day 2, 6 March 2020**

Unito: Laura Scomparin, Valeria Ferraris, Emanuela Andreis

Amapola: Eleonora Guidi, Giuseppina De Angelis

Crpe: Alexandru Damian

Uni Angers: Anthony Taillefait, Christina Koumpli

Vicesse: Reinhard Kreissl, Norbert Leonhardmair, Stefanie Brottrager, Emanuel Tananau Blumenschein

#### **Morning: 9.15-13.30**

Ministry of Justice, Room 553 "Ahnengalerie", Museumsstrasse 7, 1070 Wien

WOODIE/PROTAX: "JOINT WORKSHOP ON WHISTLE BLOWER PROTECTION AND OPEN GOVERNMENT"

⇒ WOODIE Objectives (Laura Scomparin, Unito)



- ⇒ PROTAX Objectives (Umut Turksen, University of Coventry)
- ⇒ Legal framework and implementation of OGD in EU (Valeria Ferraris, Unito)
- ⇒ Legal framework and implementation of WBP in EU (Emanuela Andreis, Unito)
- ⇒ Whistleblower protection and Freedom of Expression (Umut Turksen, University of Coventry)
- ⇒ Roundtable plenary discussion on OGD and WBP in Austria and the EU

**Afternoon: 15.00-17.00**

INTERNAL COORDINATION AND CONSORTIUM MEETING

VICESE office, Paulanergasse 4/8, 1040 Wien

Unito: Laura Scomparin, Valeria Ferraris, Emanuela Andreis

Amapola: Eleonora Guidi, Giuseppina De Angelis

Crpe: Alexandru Damian

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⇒ **Wrap-up of the first day: main decisions, tasks, clarifications (Unito)**

WP 2

Comparative report to be read by partners by 13 March

WP 3

Finalisation of the implementation indicators by Amapola (20 March)

Translations of the questionnaire (end of March)

Comments from partners (9 April – 12 April)

Finalisation of the indicators by Amapola (20 April)

Identification of the PAs (before Easter holiday)

ICT tool draft version (May)

ICT tool Test (May - June)

WP 5

Google docs for national events (in few days)

National event by the end of June

First ideas for the international conference (after Easter in April).

⇒ **WP 4 ICT tool drafting – pilot (Unito, Amapola)**

Luigi Di Caro from the ICT department of the University of Torino presented via skype a preliminary proposal of the ICT tool foreseen in WP 4. The slides illustrated the structure and key features of the ICT tools designed so far. The aim is to provide a tool to public institutions for assessing the impact of WB and OD measures within their organizations. The tool is based on a web page accessible by any device, user friendly and easily updated.

At the end of the presentation, partners exchanged their views on the proposal presented.

Some inputs were discussed, including

- Provide the possibility to download reports on the results on the main indicators so that they can serve as input for actions to be taken within the organization
- Identify the person within each organization that will be in charge of using the tool (there can be differences among public organizations in the countries)
- Provide the possibility to enter the tool to complete the assessment at any time (in this way the responsible can collect information requested offline and then provide the answer)
- Provide, at the end of the assessment, the possibility to visualize previous marks obtained over time (trend visualization)





- Create a “central room or database” to collect metadata from all the assessments of the public administrations using the tools, allowing comparisons at country level or among public administrations belonging to different countries
- Provide the possibility to have a “color flag” (red, yellow, green) both on the overall assessment and for each macro-indicator.

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⇒ **WP 1 Interim report - Project reporting and financial management (Unito)**

Bianca Gai from the university of Turin presented the reasons to ask to the partners to have the financial reporting of the first year by the end of April. All partners agree to send it.


⇒ **WP 1 Monitoring and Evaluation Questionnaire (Amapola)**

At the end of the meeting, Amapola distributed the monitoring questionnaire to all partners present to collect opinion on the progress of the project as well as suggestions for improvements. The Slovenian partner did not fill it as he took part only to specific slots of the meeting, not to all of it. The main findings will be presented and shared with the lead partner in order to adopt any necessary changes and make the project run smoothly.







Univerza v Mariboru  
Pravna fakulteta





CENTRE ROMAN  
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V I  
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S S E



U A  
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D'ÉCONOMIE  
ET DE GESTION  
UNIVERSITÉ CAEN



dg  
Università di Torino  
Dipartimento di Giurisprudenza




AMAPOLA


# INDICATORS FOR IMPACT ASSESSMENT

Vienna, 2020 March 5<sup>th</sup>

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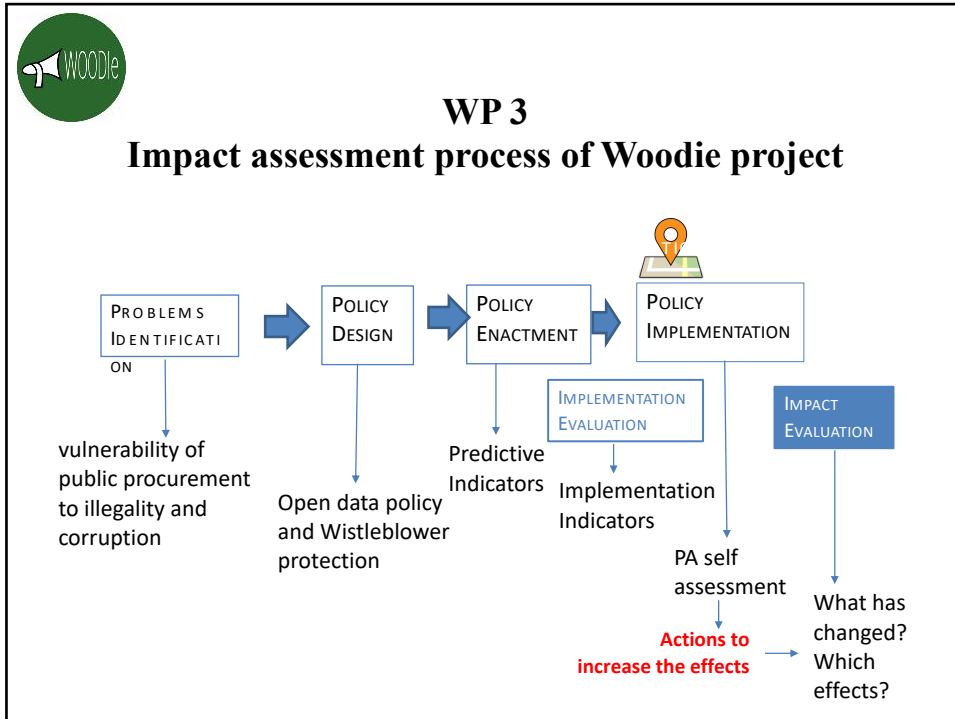


## Where we are



1

2




3


Step	Tools	Source/s of data
Analysis of the legislative frameworks, preliminary identification of the impact indicators; Reconstruction of the ToC of the legislator	Document analysis for the identifications of the concepts and areas of assessment	Project documents (partners' legal research on WB and OD) Literature, other reports, studies on WB and OD
Analysis of preliminary impact evidence through the comparison with the CPI Index	Impact questionnaire for the ToC Comparative analysis for the impact evidence. Application of timeline compared to CPI index in the countries involved in the project	CPI Index for the seven countries over the period 2012-2018
Drafting of the preliminary frame of the predictive indicators for WB and OD	Case studies Document analysis	Project documents
Analysis of case studies, expansion and refinement of predictive and implementation indicators (internal validation)	Structured questionnaire NGT	Contributions, feedback and opinions from by the partners
Semi-structured interviews for further refining of the implementation indicators (external validation)	Semi-structured interviews with experts and key informants – to be defined	Contribution, opinions by the experts interviewed
Elaboration of the ICT tool for the impact assessment	To be defined	Final version of the frame of indicators on WB and OD with corresponding weight value
Pilot of the impact assessment model in the selected public administration. Revision and finalisation of the ICT tool after the pilot	Assessment check list for the public – to be defined administration of the pilot	Feedback from the pilot' participants
Drafting of the guiding document/instructions for the use of the ICT tool by other public administration	To be defined	N/A
Data collection of the public administration ranks from the IT tools	To be defined	Data entered in the ICT tool by the public administration

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## Today target:



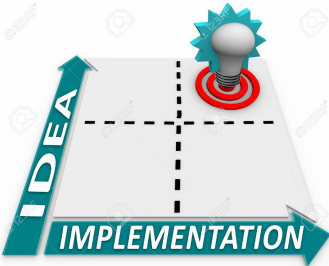
**Implementation Index** – it measures the degree of implementation of the mechanisms facilitating the effective application of the WB protection and OD policy to deter and detect corruption

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## The implementation indicators



The implementation index shows how WB and OD measures are put into action and efficacy work within a specific public administration (*i.e. is the dataset provided in a machine in readable and reusable format? How much data are actually open?*).



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This index is applied at single organisation level and it is aimed at improving the implementation level of the WB and OD measures within the PA through the following 3 elements:


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**1<sup>st</sup> - the self-assessment of the own performance level: the final evaluation will represent the PA situation in relation to the implementation of these measures**


(Risk = it is necessary to intervene; yellow = just sufficient, to monitor and increase the attention on these measurements; green = well done, to continue like this)

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2<sup>st</sup> - the analysis of the areas at risk where to increase the efforts to achieve a better performance




3<sup>st</sup> - the description of the factors facilitating the application of anti-corruption measures within the PA to figure out if there are some areas at risk and which type of actions might be put in action to improve them.

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## Before starting the work...

- ✓ The document serves as a starting point for our discussion
- ✓ The set of indicators should be the result of a collaborative and participatory process
- ✓ Once validated, the indicators will be used to build the ICT tool for public administrations (Testing phase)



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**DRAFT INDICATORS OF IMPLEMENTATION ON OPEN DATA**

Key areas of implementation evaluation


- ✓ Collection and publication of Open Data (questions 1-2)
- ✓ Features and formats of OD (questions 3-8)
- ✓ Responsibilities and management of OD (questions 9-18)
- ✓ Impact of OD (question 19)
- ✓ Overall level of satisfaction (question 20)

**Keys areas of evaluation articulated in questions (1° step)**

Proposals of Question	Weight
<b>1. Does the organization collect OD?</b> YES      NO  If the answer is "YES": <b>1.1 In which areas of activity does the organization publish OD?</b> OPTIONS TO BE DEFINED All areas    The majority of them    Few areas    I do not know Or list of the most common sectors of data	
<b>2. Does the organization send OD collected to any nationwide data portal/s (or other similar tools, which are owned by a central government institution or with nationwide coverage, i.e. catalogue, repositories etc.)?</b> YES      NO  If the answer is "YES": <b>2.1. Is there an automated process for data publishing from the organization to the nationwide portal/s?</b> YES      NO  <b>2.2 Is there an exchange cooperation system to regulate the transmission of OD to this/these portal/s?</b> YES      NO  <b>2.3. Which is the frequency of transmission of OD to the nationwide portal?</b> OPTIONS TO BE DEFINED	
<b>3. Is the dataset provided by the organization in machine-readable formats?</b> YES      NO	
<b>4. Are basic statistics or metadata available in machine-readable format?</b> YES      NO	

**Each area or indicator will have a weight in the ICT tool (2° step)**

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## Indicators of implementation on OD (1)

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**1. Does the organization collect OD?**  
 YES      NO

If the answer is "YES":  
**1.1 In which areas of activity does the organization publish OD?**  
 OPTIONS TO BE DEFINED  
 All areas    The majority of them    Few areas    I do not know  
 Or list of the most common sectors of data

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**2. Does the organization send OD collected to any nationwide data portal/s (or other similar tools, which are owned by a central government institution or with nationwide coverage, i.e. catalogue, repositories etc.)?**  
 YES      NO

If the answer is "YES":


**2.1. Is there an automated process for data publishing from the organization to the nationwide portal/s?**  
 YES      NO

**2.2 Is there an exchange cooperation system to regulate the transmission of OD to this/these portal/s?**  
 YES      NO

**2.3. Which is the frequency of transmission of OD to the nationwide portal?**  
 OPTIONS TO BE DEFINED

Area 1:  
Collection and  
publication of  
Open Data


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## Indicators of implementation on OD (2)

<p><b>3. Is the dataset provided by the organization in machine-readable formats?</b> YES                      NO</p>	<p style="color: #4F7942; font-weight: bold;">Area 2: Features and Formats of Open Data</p>
<p><b>4. Are basic statistics or metadata available in machine-readable format?</b> YES                      NO</p>	
<p><b>5. Is companion guidance documentation available to use understand dataset, statistics, metadata published?</b> YES                      NO                      only for some dataset</p>	
<p><b>6. Is the dataset available free of charge?</b> YES                      NO</p>	
<p><b>7. Is the data openly licensed?</b>  <span style="color: red; font-size: small;">OPTIONS TO BE DEFINED (i.e. OD rating scheme from Linked Open Data Stardom)</span>                      * available on the web (whatever format) but with an open licence to be Open Data                      ** available as machine-readable structured data (i.e excel instead of image scan of a table)                      *** as 2 stars plus non-proprietary format (i.e. CSV instead of excel)                      **** all the above plus Use open standards from W3C (RDF and SPARQL) to identify things so that people can point at your stuff                      ***** all the above plus Link your data to other people's data to provide context                       As alternative, the rating scheme from LOD-LAM Summit (4 star classification)</p>	
<p><b>8. When is the last update of the dataset (date/month.)?</b>  <span style="color: red; font-size: small;">OPTIONS TO BE DEFINED</span></p>	


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## Indicators of implementation on OD (3)

<p><b>9. Are internal regulation clear on?</b></p> <table style="width: 100%; border: none;"> <tr> <td style="width: 30%;">a) Procedures for updating OD</td> <td style="width: 10%;">YES</td> <td style="width: 10%;">NO</td> </tr> <tr> <td>b) Timelines/frequency for updating OD</td> <td>YES</td> <td>NO</td> </tr> <tr> <td>c) Responsibilities for updating OD</td> <td>YES</td> <td>NO</td> </tr> <tr> <td>d) Responsibilities for checking the update</td> <td>YES</td> <td>NO</td> </tr> </table>	a) Procedures for updating OD	YES	NO	b) Timelines/frequency for updating OD	YES	NO	c) Responsibilities for updating OD	YES	NO	d) Responsibilities for checking the update	YES	NO	<p style="color: #4F7942; font-weight: bold;">Area 3: Responsibilities &amp; Management</p>
a) Procedures for updating OD	YES	NO											
b) Timelines/frequency for updating OD	YES	NO											
c) Responsibilities for updating OD	YES	NO											
d) Responsibilities for checking the update	YES	NO											
<p><b>10. Are internal procedures of updating clear among people tasked with this work within the organization?</b> YES                      NO</p>													
<p><b>11. How would you evaluate the task of publication of the dataset in terms of ....?</b>  <span style="color: red; font-size: small;">SCALE TO BE DEFINED</span></p> <table style="width: 100%; border: none;"> <tr> <td style="width: 30%;">a) Level of sustainability</td> <td style="width: 10%;">Easy / Feasible / Complex</td> </tr> <tr> <td>b) Level of professional competence required</td> <td>Accessible / Basic competence required Highly professional</td> </tr> </table>	a) Level of sustainability	Easy / Feasible / Complex	b) Level of professional competence required	Accessible / Basic competence required Highly professional									
a) Level of sustainability	Easy / Feasible / Complex												
b) Level of professional competence required	Accessible / Basic competence required Highly professional												
<p><b>12. Is it easy to find information about dataset?</b> YES                      NO</p>													
<p><b>13. Are (linked) data URLs provided for key elements of the data?</b> YES, for all data    YES, but only for some data    NO</p>													
<p><b>14. Are published additional open data beyond those mandatory?</b> If the answer is "yes", specify in which sectors .....</p>													
<p><b>15. Are published additional open data on Public Procurement beyond those mandatory?</b> YES                      NO</p>													
<p><b>16. Does a specific procedure for making a request of data exist within the organization?</b> YES                      NO If the answer is "Yes",</p> <p><b>16.2</b> Are requests of OD handled and processed within the established period of time? YES                      NO</p> <p><b>16.3</b> Have the organization ever received complaints concerning difficulties in accessing OD? YES                      NO</p> <p><b>17.</b> Within the organization is there a person (or more persons) in charge of taking care of the request of data? YES                      NO</p> <p><b>18.</b> Within the organization is there a person (or more persons) in charge of the publication of data? YES                      NO</p>													

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## Indicators of implementation on OD (4)

Area 4-5: Impact of OD and overall satisfaction

**19.** Are the analytics on access analyzed by someone?  
 YES                      NO

If the answer is "Yes"

**17.1** What the impact of the analysis is ...?

a) publication of new dataset	YES	NO
b) changes in the internal organizational of management of OD	YES	NO
c) changes in the internal procedures and mechanisms for the management of OD	YES	NO
d) increased visibility for the organization	YES	NO

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**20.** How would you evaluate the overall level of satisfaction of OD management within the organization?  
 SCALE TO BE DEFINED (5 OR 7-POINT SCALES)

VERY POOR    BELOW AVERAGE    AVERAGE    ABOVE AVERAGE    EXCELLENT  
 OR  
 VERY POOR    POOR    FAIR    GOOD    VERY GOOD    EXCELLENT    EXCEPTIONAL  
 OR  
 VERY DISSATISFIED    MODERATELY DISSATISFIED    SLIGHTLY DISSATISFIED    NEUTRAL  
 SLIGHTLY SATISFIED    MODERATELY SATISFIED    VERY SATISFIED


Other.....

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## Indicators of implementation on WB (1)

**Area 1: Internal Organization**

<p><b>1. Does a WB reporting channel exist?</b>                  YES                      NO                      NOT YET (IN PROGRESS)</p>												
<p><b>2. Are there additional reporting channels available (other than those required by the law)?</b>                  YES                      NO                      NOT YET (IN PROGRESS)</p> <p>If the answer is "yes", choice from the list the channel/s existing in the organization</p> <table style="width: 100%; border: none;"> <tr> <td style="width: 80%;">a) Dedicated mail</td> <td style="width: 10%;">YES</td> <td style="width: 10%;">NO</td> </tr> <tr> <td>b) Dedicated Platform</td> <td>YES</td> <td>NO</td> </tr> <tr> <td>c) Written reporting</td> <td>YES</td> <td>NO</td> </tr> <tr> <td>d) Direct reporting (by communication)</td> <td>YES</td> <td>NO</td> </tr> </table>	a) Dedicated mail	YES	NO	b) Dedicated Platform	YES	NO	c) Written reporting	YES	NO	d) Direct reporting (by communication)	YES	NO
a) Dedicated mail	YES	NO										
b) Dedicated Platform	YES	NO										
c) Written reporting	YES	NO										
d) Direct reporting (by communication)	YES	NO										
<p><b>3. Has the organization sent specific communication informing employees on the existence of the reporting channels?</b>                  Yes, once    At each significant update of the legislation    When new employees arrive    NO</p>												
<p><b>4. Is there an internal code or regulation clearly explaining how to make a report?</b>                  YES                      NO                      NOT YET (IN PROGRESS)</p> <p>If the answer is "YES"                  Is the above-mentioned code or regulation available to all potential users?                  YES                      NO</p>												
<p><b>5. Are the contacts of the internal WB responsible public and easy to find?</b>                  YES                      NO</p>												

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## Indicators of implementation on WB (2)


**Area 2: Analysis of reports**

<p><b>6. In the last 6 months (PERIOD OF TIME TO BE DEFINED – IN THE LAST YEAR, SINCE THE ADOPTION OF THE WB LEGISLATION..ETC...), have the organization received any reports?</b>                  YES                      NO</p> <p>If the answer is "Yes"</p> <p><b>6.1 Taking into account all the reports received, how do you evaluate them in terms of ...? (5-point scale)</b></p> <table style="width: 100%; border: none;"> <tr> <td style="width: 30%;">a) Completeness of information provided</td> <td style="width: 10%;">1(not at all)</td> <td style="width: 10%;">2</td> <td style="width: 10%;">3</td> <td style="width: 10%;">4</td> <td style="width: 10%;">5 (totally)</td> </tr> <tr> <td>b) Pertinence/Relevance</td> <td>1(not at all)</td> <td>2</td> <td>3</td> <td>4</td> <td>5 (totally)</td> </tr> <tr> <td>c) Compliance to requirements/eligible</td> <td>1(not at all)</td> <td>2</td> <td>3</td> <td>4</td> <td>5 (totally)</td> </tr> </table> <p><b>6.2 Taking into account all the reports received, how do they distribute among the available reporting channels?</b></p> <table style="width: 100%; border: none;"> <tr> <td style="width: 20%;">a) Dedicated mail</td> <td style="width: 5%;">0%</td> <td style="width: 5%;">10%</td> <td style="width: 5%;">20%</td> <td style="width: 5%;">30%</td> <td style="width: 5%;">40%</td> <td style="width: 5%;">50%</td> <td style="width: 5%;">60%</td> <td style="width: 5%;">70%</td> <td style="width: 5%;">80%</td> <td style="width: 5%;">90%</td> <td style="width: 5%;">100%</td> </tr> <tr> <td>b) Dedicated Platform</td> <td>0%</td> <td>10%</td> <td>20%</td> <td>30%</td> <td>40%</td> <td>50%</td> <td>60%</td> <td>70%</td> <td>80%</td> <td>90%</td> <td>100%</td> </tr> <tr> <td>c) Written reporting</td> <td>0%</td> <td>10%</td> <td>20%</td> <td>30%</td> <td>40%</td> <td>50%</td> <td>60%</td> <td>70%</td> <td>80%</td> <td>90%</td> <td>100%</td> </tr> <tr> <td>d) Direct communication</td> <td>0%</td> <td>10%</td> <td>20%</td> <td>30%</td> <td>40%</td> <td>50%</td> <td>60%</td> <td>70%</td> <td>80%</td> <td>90%</td> <td>100%</td> </tr> </table> <p><b>6.3 Compared to ... (DEFINE A PERIOD OF TIME FOR MAKING THE COMPARISON), the number of reports received is</b></p> <p style="text-align: center;">More or less the same                      is increasing                      is lowering</p> <p><b>6.4 Which is the quota of eligible reports on the total of reports received?</b></p> <ol style="list-style-type: none"> <li>a) Less than 20% of the overall reports</li> <li>b) Between the 20% and 50% of the overall reports</li> <li>c) Above 50% of the overall reports</li> </ol> <p><b>6.5 Taking into account only the eligible reports, are they processed in compliance with the current relevant legislation?</b>                  YES                      NO</p>	a) Completeness of information provided	1(not at all)	2	3	4	5 (totally)	b) Pertinence/Relevance	1(not at all)	2	3	4	5 (totally)	c) Compliance to requirements/eligible	1(not at all)	2	3	4	5 (totally)	a) Dedicated mail	0%	10%	20%	30%	40%	50%	60%	70%	80%	90%	100%	b) Dedicated Platform	0%	10%	20%	30%	40%	50%	60%	70%	80%	90%	100%	c) Written reporting	0%	10%	20%	30%	40%	50%	60%	70%	80%	90%	100%	d) Direct communication	0%	10%	20%	30%	40%	50%	60%	70%	80%	90%	100%
a) Completeness of information provided	1(not at all)	2	3	4	5 (totally)																																																													
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a) Dedicated mail	0%	10%	20%	30%	40%	50%	60%	70%	80%	90%	100%																																																							
b) Dedicated Platform	0%	10%	20%	30%	40%	50%	60%	70%	80%	90%	100%																																																							
c) Written reporting	0%	10%	20%	30%	40%	50%	60%	70%	80%	90%	100%																																																							
d) Direct communication	0%	10%	20%	30%	40%	50%	60%	70%	80%	90%	100%																																																							

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## 5 March 2020 Afternoon (13:30 – 17:30)


WP 3 Test of the indicators and further steps (Amapola)  
*Coffee break*

WP 1 Project status and development. Timeline.


WP 2 Outcomes of MS comparison  
*Coffee break*

WP 5 National events

WP 5 International conference




1




## WP 3 Test of the indicators and further steps

TIMELINE		
Interviews with external stakeholders	Amapola	March - April
Elaboration and release of the ICT tool	Unito ICT Department	March - May
Pilot of the impact assessment model. Revision and finalization of the ICT tool	Partners + Amapola	May - September

2




## WP 3 Test of the indicators and further steps




**To decide:**

- How many public administrations will test the model?
- In which countries?
- The same public administrations involved in the case studies?

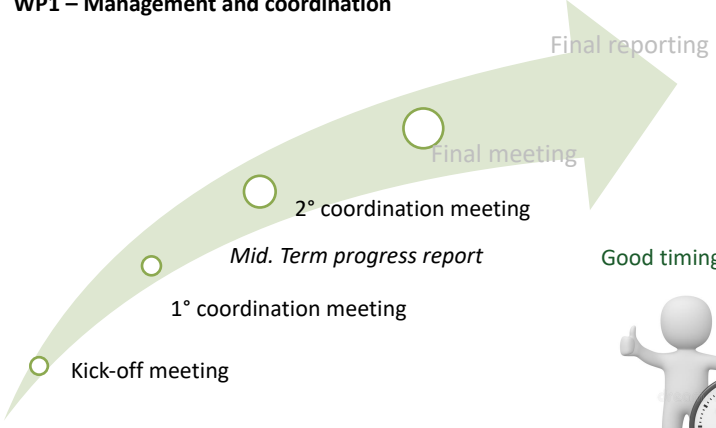
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## WP 1 Project status



**WP1 – Management and coordination**



Final reporting

Final meeting


2° coordination meeting

Mid. Term progress report

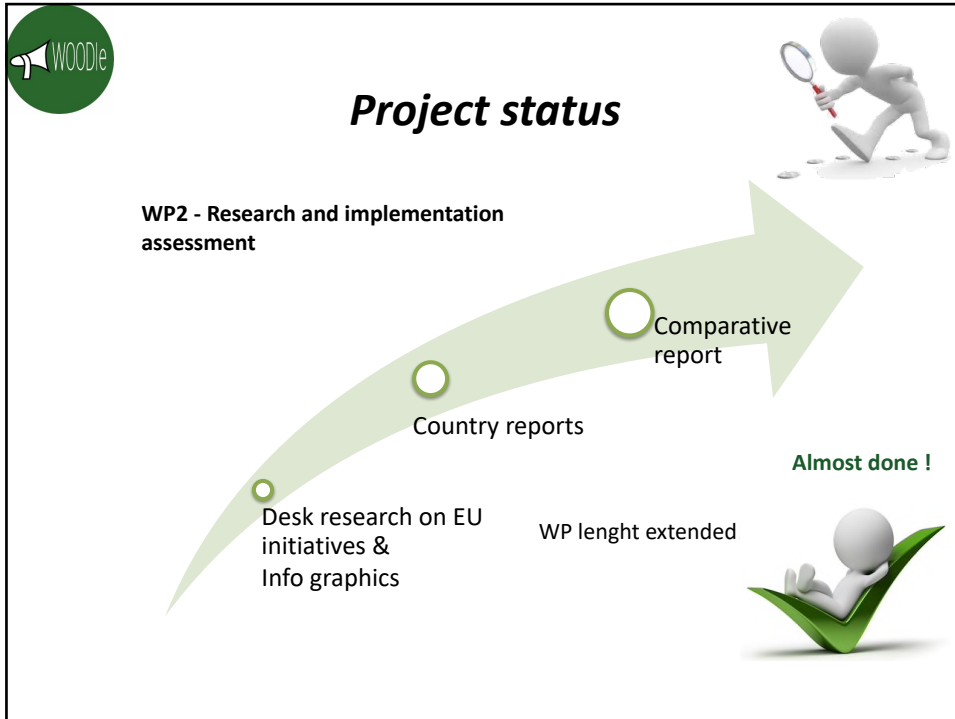
1° coordination meeting

Kick-off meeting

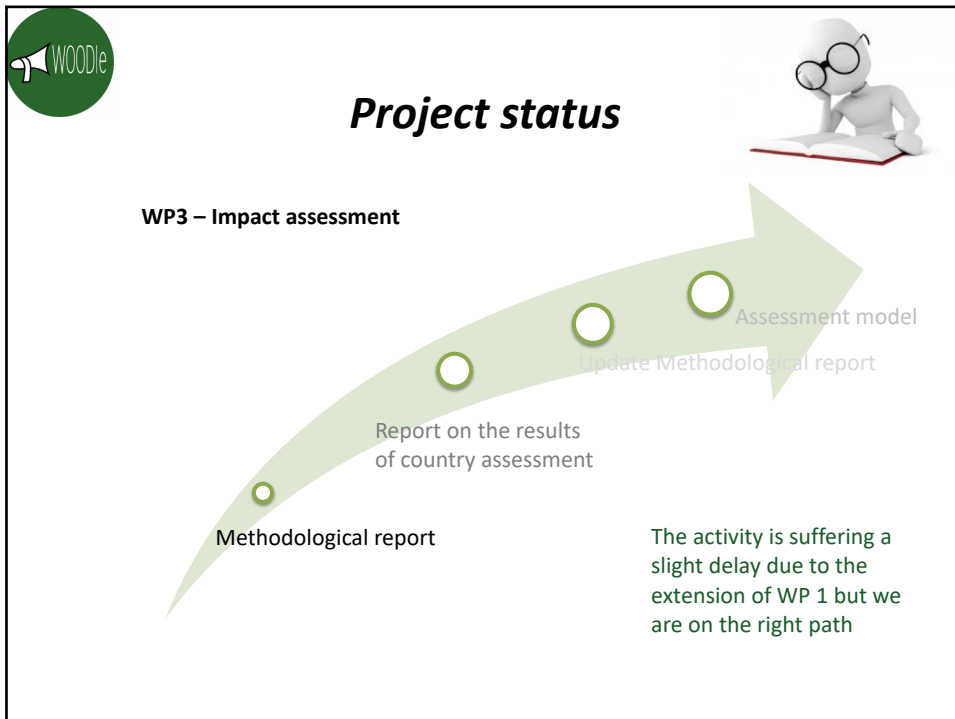
Good timing so far!




4



5

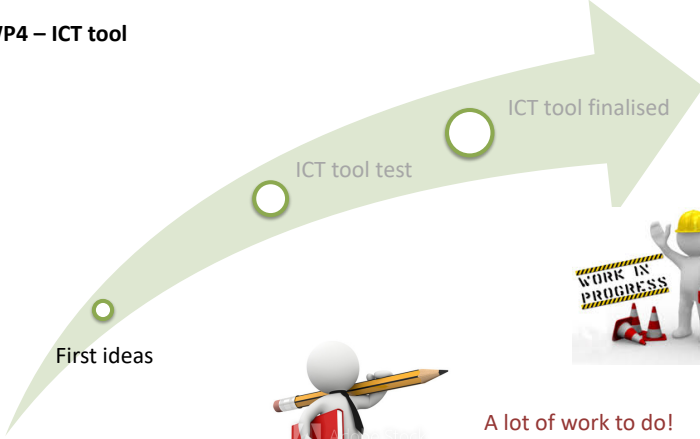



6



## Project status



**WP4 – ICT tool**



First ideas


ICT tool test

ICT tool finalised




A lot of work to do!  
Be on time in WP 3 is crucial

7

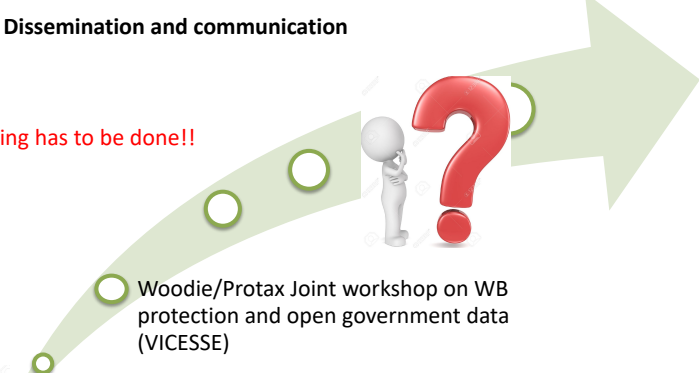


## Project status

**WP5 – Dissemination and communication**




Almost everything has to be done!!




Woodie/Protax Joint workshop on WB protection and open government data (VICESSE)


XXII AIV National Conference 'Anticorruption and impact evaluation' (Amapola)




8



## WP2 - Research and implementation assessment

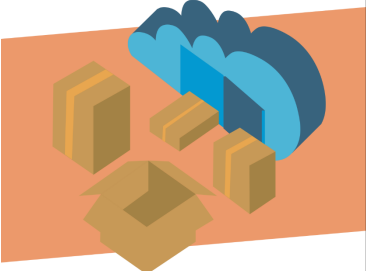




**Comparative report**  
Whistleblowing in Italy, Austria, Estonia, France, Ireland, Romania, and Slovenia



Almost done!


**Comparative report**  
Open data in Italy, Austria, Estonia, France, Ireland, Romania, and Slovenia







Draft version - Do not circulate

9



## WP5: Dissemination and communication



**What is written in the project proposal...**


**Presentation of the main findings of the implementation and impact assessment to relevant audiences at the national level.**

- **What** targeted workshops
- **Why** to present the results and get feedback
- **Who** relevant stakeholders, academia, policy makers, experts, civil society representatives and media.
- **Where** all MSs
- **When** before the summer (at least 1 for each MSs).


By October for those that can/want to organise a second national event

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 **WP5: Dissemination and communication**

**EVENTS**

 **What we want to do... according to:**

1) MS situation → Something interesting to find out, to debate, etc...


2) MS critical issues

3) MS desired changes → Something interesting to advocate for


4) ....

5) ....


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 **WP5: Dissemination and communication**

**EVENTS**

 **Some ideas.... workshop with**


- PAs on implementation challenges
- NGOs working on Open data
- Media on media disclosure
- Lawyers on WB legal protection
- Authorities and PA on implementation of public tender data standard
- ....

Time to discuss and propose ! → 

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 **WP5: Dissemination and communication**

**FINAL CONFERENCE**



**JANUARY 2021, TURIN**

Brainstorming is officially open!



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**Thank you for the attention!**

 This project was funded by the European Union's Internal Security Fund — Police.

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## **6 March 2020**


### **Afternoon (15:00 – 17:00)**

WP 3 Wrap-up of the first day: main decisions, tasks, clarifications

WP 4 ICT tool drafting – pilot

WP 2 Interim report - Project reporting and financial management

WP 1 Monitoring and Evaluation Questionnaire



1



## **Wrap-up of the first day**

**WP 2**  
Comparative report to be read by partners by 13 March

**WP 3**  
Finalisation of the implementation indicators by Amapola (20 March)  
Translations of the questionnaire (end of March)  
Comments from partners (9 April – 12 April)  
Finalisation of the indicators by Amapola (20 April)  
Identification of the PAs (before Easter holiday)  
ICT tool draft version (May)  
ICT tool Test (May - June)

**WP 5**  
Google docs for national events (in few days)  
National event by the end of June  
First ideas for the international conference (after Easter in April)

2



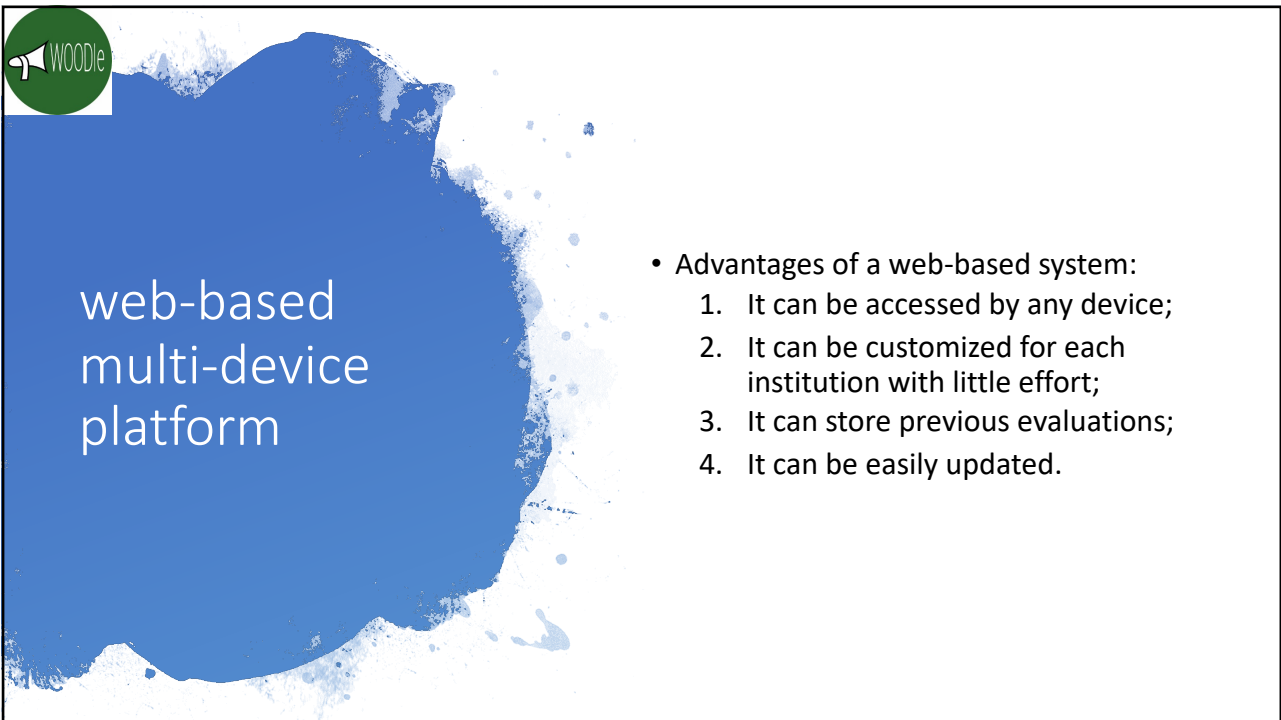


## ICT TOOL


**Go to the powerpoint.**



1




2



web-based  
multi-device  
platform

- The application will allow a user to register in order to store the history of previously-inserted data and scores
- The user, after the login phase, can update areas or dimensions
- The system will provide visualization tools to highlight scores for specific areas or single dimensions.
- A method to select specific periods of time (e.g. last month, etc.) will be integrated


3



web-based  
multi-device  
platform

- The user, after the login phase, has two possible path:
  1. a digest of all dimensions and visualization features, if the inserted data are complete
  2. a request to insert or update the data
- The user can interact with the platform through a set of questions divided by area and dimension (video in the next slide)

4



# web-based multi-device platform

WOODie

Law or policy commitment

D1: legislative attention on the WB issue and the relevance of the existing normative and institutional framework

Dedicated law on WB protection (WB protection is the solely purpose of the legislation)  
 yes  no

Complete WB protection through comprehensive laws or provisions in sectoral laws (ie. Anticorruption, media, witnesses protection)  
 yes  no

WB protection scattered across different laws or provisions in sectoral laws (ie. Anticorruption, media, witnesses protection)  
 yes  no


General WB standard protection though provisions in labour, civil service employment laws (only for those with scattered legislation)  
 yes  no

Total D1

D2: political will to ensure adequate coverage

Sector of application  
 public sector  both public and private sectors

5



# web-based multi-device platform

- For simplicity, we grouped each set of questions for area of evaluation and dimension
- The possible answers for each question are provided to the user
- The user simply clicks on the desired answer (for instance, *yes* or *no*)

Law or policy commitment

D1: legislative attention on the WB issue and the relevance of the existing normative and institutional framework

Dedicated law on WB protection (WB protection is the solely purpose of the legislation)  
 yes  no

Complete WB protection through comprehensive laws or provisions in sectoral laws (ie. Anticorruption, media, witnesses protection)  
 yes  no

WB protection scattered across different laws or provisions in sectoral laws (ie. Anticorruption, media, witnesses protection)  
 yes  no

General WB standard protection though provisions in labour, civil service employment laws (only for those with scattered legislation)  
 yes  no

6



## web-based multi-device platform

- On the right, we can see an example of interaction with the system, where the user made her(his) choice by clicking on the corresponding button
- Once the user answered all the questions of a dimension, the system automatically calculates the score for that dimension (in the example, the score is 16)

Woodie

Law or policy commitment

D1: legislative attention on the WB issue and the relevance of the existing normative and institutional framework

Dedicated law on WB protection (WB protection is the solely purpose of the legislation)  
 yes  no

Complete WB protection through comprehensive laws or provisions in sectoral laws (ie. Anticorruption, media, witnesses protection)  
 yes  no

WB protection scattered across different laws or provisions in sectoral laws (ie. Anticorruption, media, witnesses protection)  
 yes  no

General WB standard protection through provisions in labour, civil service employment laws (only for those with 'scattered' legislation)  
 yes  no

Total D1: 16

7



## web-based multi-device platform

- After the user completed all the sections, the system shows a digest of all dimensions
- The dimension will contain:
  - A total score
  - The strong areas
  - The weak areas. Those are further separated in light weaknesses (orange color) and heavy weaknesses (red color)

Law or policy commitment

D1: legislative attention on the WB issue and the relevance of the existing normative and institutional framework

score: 16

**strong areas:**

- Dedicated law on WB protection
- Complete WB protection through comprehensive laws or provisions in sectoral laws
- General WB standard protection through provisions in labour, civil service employment laws

**weak areas:**

- WB protection scattered across different laws or provisions in sectoral laws

D2: political will to ensure adequate coverage

score: 8


**strong areas:**

- Sector of application
- Material scope

**weak areas:**

- Personal scope
- Volunteers, trainees


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## Web app: pro and cons

- Pros:
  - Easily to adapt to new technologies
  - Easily to change the interface to satisfy new requirements
  - Customizable for each institution
  - User can access to the web-based system to update its areas and see the changes
- Cons:
  - Cost to host the web application on a server
  - Cost to maintain the web application:
    - Cost to hire developers to solve problems
    - Cost to hire developers to change the layout


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## Web app: future work

- We will improve the system in order to better show areas and dimensions
  - For instance, we can rank the areas according to their score, showing each dimension with different colours according to their strong / weak points
- We will study, talking to involved users and domain experts, which could be the best interaction between the users and the system

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## Financial Reporting

Final financial report:

- created automatically by the electronic exchange system, consolidating the individual financial statements for all reporting periods
- due within 60 days following the end of the reporting period (20/01/2021) → **deadline 21/03/2021**


**Yes, BUT...**

4



## Responsibilities in the Grant Agreement (I)

“The **coordinator** must request and review any documents or information required by the Commission and **verify their completeness and correctness** before passing them on to the Commission” (GA 25.2);



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## Responsibilities in the Grant Agreement (II)

“The Commission will — at the payment of the balance or afterwards — claim back amount that was paid but is not due under the Agreement.

The **coordinator** is **fully liable** for repaying debts of the consortium (under the Agreement), **even if it has not been the final recipient of those amounts**. In addition, the **beneficiaries** (including the coordinator) are **jointly and severally liable** for repaying any debts under the Agreement” (GA 28.1).



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## Financial responsibilities



**Horizon 2020**

“The Agency will [...] claim back any amount that was paid, but is not due under the Agreement. Each beneficiary’s financial responsibility in case of recovery is limited to its own debt”  
(H2020 Model GA)




**ISFP Programme**


Financial responsibility is on the Coordinator and, in addition, on all the Beneficiaries

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## Financial monitoring: next steps

**By the 30th of April:** 

- **Reporting template:** real costs incurred in the 1<sup>st</sup> year
- **Effort file:** hours/months worked for each staff unit, for each WP
- **Supporting documentation:** cfr. *WOODle Project Management Handbook*.

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## Documentation to be provided

**STAFF COSTS**

- timesheets, salary slips, contracts of employment

**TRAVEL COSTS**

- travel tickets and invoices (boarding passes!), attendance lists

**SUBSISTENCE COSTS**

- proof of accommodation, receipts (foods, beverages, local transport...), reimbursement claim

**OTHER GOODS AND SERVICES**

- invoices, documents related to the award procedure (offers, bid received, comparison of the bids...)

**ALL COSTS:**

- proofs of payments/reimbursement

9



## **WP 1 Monitoring and Evaluation Questionnaire**

It is time to fill in the questionnaire and give it  
back!